



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yencho, Stephen; et. al.  
Assignee: Cardica, Inc.  
Title: Implantable Superelastic Anastomosis Device  
Serial No.: 09/687,216 Filing Date: October 12, 2000  
Examiner: Ismael Izaguirre Group Art Unit: 3765  
Docket No.: 032405-018

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following items listed on the attached form PTO-1449 are brought to the attention of the Examiner in conjunction with the RCE filed herewith.

A copy of a cumulative patent listed on the attached form PTO-1449 have not been provided, pursuant to MPEP 609(III)(A)(2). That cumulative patent is U.S. Pat. No. 6,599,303 to Peterson et. al., a child of U.S. Pat. No. 6,152,937, a copy of which has been provided previously.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

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FORM PTO-1449

ATTY. DOCKET NO.  
032405-018SERIAL NO.  
09/687,216LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENTAPPLICANT:  
Yencho, Stephen; et al.FILING DATE:  
10/12/2002GROUP:  
3765

(Us sev ral sh ets if n cessary)



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,599,303	07/20/2003	Peterson et. al.			
	6,602,263	08/05/2003	Swanson et. al.			

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant